

MAY 29 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of:

Shunpei YAMAZAKI

Serial No. 09 620,968

Filed: July 20, 2000

For: METHOD OF FORMING INSULATING FILMS,

CAPACITANCES AND SEMICONDUCTOR DEVICES)

) Examiner: Nathan Ha

) Group Art Unit: 2814

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within three (3) months of the filing of this application or entry into the national stage of this application, or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Applicant notes that the enclosed reference JP 60-057634 was cited in the parent application Serial No. 08 857,556.

In addition to the above, applicant also wishes to correct the typographical error of JP 2-61128 which appears on the PTO-1449 submitted with the Information Disclosure Statement filed on July 20, 2000. The correct reference should read as JP 62-261128 which applicant also notes on the attached PTO-1449. A copy of this reference is not enclosed in view of its prior citation on July 20, 2000.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

By: 

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Substitute for form 1449A PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	09 620,968
		Filing Date	July 20, 2000
		First Named Inventor	Shunpei YAMAZAKI
		Art Unit	2814
		Examiner Name	Nathan Ha
Sheet 1 of 1	Attorney Docket Number	740756-2183	

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ²	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number + Kind Code ³ (if known)			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ²	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	1 ³
		Country Code ⁴ Number ⁴ Kind Code ⁵ (if known)				
		JP-60-057634	04-03-1985			AB
		JP-62-261128	11-13-1987	Suyama et al.		AB

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ²	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	1 ³

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.